**[SAMPLE] BUSINESS CONTINUITY PLAN**

**OVERVIEW**

1. **Definition of Business Continuity Management**

Business Continuity Management is defined as a holistic management process that identifies potential impacts that threaten an organisation and provides a framework for building resilience with the capability for an effective response that safeguards the interest of its key stakeholders, reputation and value creating activities.

These impacts or ‘crisis’ include:

* Building or site incidents: for example, flood, fire, terrorist attack on buildings affecting access to or from buildings and sites
* Infrastructure incidents: for example, loss of computer / telephony systems, loss of power
* Staff / Operational incidents: for example, loss of key staff, loss of critical documents
* Widespread environmental factors: for example, flu pandemic, fuel shortages

[Organisation name] business continuity plan consists of one plan to cover different operations, based at our headquarters [address]. Separates plans will be incorporated for operations based on other sites [if relevant]. The primary objective of the Business Continuity Plan is to show how [organisation name] would respond to identified risks and continue to manage its operations under adverse circumstances.

1. **Chain of Command**

Overall responsibility for business continuity in the organisation is held by [for example, the Director] of [organisation] and in his/her absence [for example, Deputy Director]

1. **Crisis Management Group**

If a major disaster occurs then the [organisation] Crisis Management Group will be mobilised. The membership of this group will be [for example, all managers]. All communication with the media must be via [for example, the Director and Vice-Director].

1. **Documentation and location** (example below)

|  |  |
| --- | --- |
| Name of document | Location |
| Business Continuity PlanAppendix A: Crisis Management GroupAppendix B: Key Stakeholders ContactsAppendix C: Staff Contact DetailsAppendix D: Organisational Chart | Staff Notice BoardReceptionHuman Resources DepartmentStaff Handbook |

[You might want to consider/include access to electronic files, for example, via remote access server]

1. **Review of Business Continuity Plan**

A comprehensive review of risk is taken at least [for example, annually] by the [for example, Management Team]. These revised plans must be submitted to the Management Committee for incorporation within the [organisation’s] Business Continuity Plan.

[If the organisation has different areas of operation, these should be integrated in the review and described here]

The different response elements of the plan should be tested.

1. **Training**

All staff is made aware of their roles and responsibilities as part of (for example, their induction, supervision, performance review]. Their responsibilities include awareness of key policies and procedures, including the Business Continuity Plan. Staff must take personal responsibility to ensure they are familiar with th content of the Plan so they know who to contact in case of an incident and how they can contribute to the plan’s implementation.

1. **Coordinated Responses**

The Plan should not be implemented in isolation, but where possible, should be used in conjunction the Business Continuity and Emergency Plans of the host local authority and emergency services in which it operates.

1. **Risk Assessment and Response**

The following table [for example] identifies some of the main risks, their likely level of impact on operations and the planned responses to address these risks.

|  |  |  |  |
| --- | --- | --- | --- |
| Risk Area | Details | Risk Level | Action / Response |
| Fire | Caused by carelessness, accident, terrorism, etc. | Low | [Staff name] has overall responsibility in respect of health and safety risksIn accordance with the Fire Precautions (Places of Work) Regulations 1997, there are Emergency Planning Procedures in place: [describe] |
| Loss of data | Caused by technical fault, human error or sabotage | Medium | All electronic files are backed up every night and weekly copies kept out of the officeKey paper documents should be scanned |
| And so forth |  |  |  |

1. **Recording Incidents**

Details of major incidents and action taken will be recorded. These notes may be referred to if there is any further investigation and it will also inform future business continuity planning.

1. **Key Contacts**

[*For example: Stakeholders, Landlord, Utility Companies, Service Users, Suppliers, and Insurers*]

|  |  |  |
| --- | --- | --- |
| **Position** | **Name** | **Mobile number** |
|  |  |  |
|  |  |  |

1. **Crisis Management Group**

**Step One**

If you have a concern, the first point of contact should be your **line manager**. This can be done orally or in writing.

**Step Two**

If you feel unable to raise the matter with your line manager, for whatever reason, please raise the matter with the [organisation name] **Director**.

**Step Three**

If step one and two have been followed and you still have concerns, or if you feel the matter is serious and cannot be discussed with any of the above, you should contact: the [organisation name], Chairman of the Board of Trustees.

**Handling the Matter**

Once we know of your concern, we will look into it to assess initially what action should be taken. This may involve an internal enquiry or a more formal investigation. We will tell you who is handling the matter, how you can contact them and whether any further assistance may be needed. You can request a written summary of your concern/s and how the organisation proposes to hand it/them. If your concerns fall more properly within the grievance procedure, we will tell you.

In the case of a situation under the Safeguarding (Adults / Children) policy, the concern will be handed across to the relevant Statutory Service to investigate.

If you feel that the matter has not been dealt with in accordance with the law, there are other organisations you can contact depending on your concern:

Example:

Charity Commission - Governance/Finance issues

Local Authority - Safeguarding Adults or Children

While we cannot guarantee that we will respond to all matters in the way that you might wish, we will try to handle the matter fairly and properly.

Policy created/approved: MM/YYYY

Next review: MM/YYYY